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COPY OF PAPERS ORIGINALLY FILED

PATENT 2543-1-023

THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S):

Raymond A. Dwek et al.

SERIAL NO.:

10/042,527

Examiner:

Unknown

FILED

October 19, 2001

Art Unit:

1194

10/67

FOR

THERAPEUTIC COMPOSITIONS AND METHODS OF

TREATING GLYCOLIPID STORAGE RELATED DISORDERS

Certificate of Mailing Under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231 on Sept. 18, 2002

Betty Schultz
(Name of Depositor)

Better Schutz 9/18/0 2 (Signature and Date)

RENEWED REQUEST FOR CORRECTED FILING RECEIPT

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

Sir:

This is in response to the communication mailed September 4, 2002, entitled "Response to Request for Corrected Filing Receipt" that is responsive to a Request for Corrected Filing Receipt which was submitted by Applicants by first class mail deposit dated August 6, 2002. A copy of the Request for Corrected Filing Receipt is attached hereto for reference.

In the Request, Applicants advised that three out of the five joint inventors with respect to the above-identified patent application had been omitted from the filing receipt in error. Applicants inadvertently submitted in support of the request for correction of this error, a copy of the unexecuted Declaration and Power of Attorney that was originally submitted when the application was filed on October 19, 2001. The present communication suggests that a substitute Declaration identifying all five inventors should be submitted to the

PATENT 2543-1-023

U.S.P.T.O. to effect their correction. In response thereto, Applicants advise that a fully executed Declaration and Power of Attorney was submitted and was duly filed on March 19, 2002 together with a Response to a Notice to File Missing Parts of Application, which Notice was dated February 12, 2002. Accordingly, a copy of Applicants' response to the Notice to File Missing Parts which was mailed and filed as of March 19, 2002, is attached thereto, and includes the copy of the fully executed Declaration and Power of Attorney, reference to which is made in the Response.

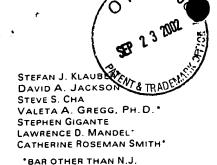
On the basis of the documents submitted herewith, Applicants believe that the U.S. Patent and Trademark Office has all of the information that is needed to make the necessary correction to the formal filing receipt. Accordingly, a corrected filing receipt including all of the inventors herein is respectfully requested.

Respectfully submitted

Attorney for Applicant(s) Registration No. 26,742

KLAUBER & JACKSON 411 Hackensack Avenue Hackensack, NJ 07601 (201) 487-5800

Date: Day 6. 18, 2002



KLAUBER & JACKSON

COPY OF PAPERS ORIGINALLY FILED

ATTORNEYS AT LAW
CONTINENTAL PLAZA
411 HACKENSACK AVENUE
HACKENSACK, NEW JERSEY 07601

PATENT, TRADEMARK AND COPYRIGHT CAUSES

(201) 487-5800 FACSIMILE: (201) 343-1684 WWW.KJIPLAW.COM

email: info@kjiplaw.com

March 19, 2002

· COUNSEL

PATENT AGENTS

CHRISTINE E. DIETZEL, PH.D. MICHAEL A. YAMIN, PH.D.

SCIENTIFIC ADVISOR

VERONICA M. MALLON, PH.D.

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

Attention:

Box Missing Parts

Application Processing Division

Special Processing and Correspondence Branch

Re:

U.S. Patent Application

Applicant(s)

Raymond A. Dwek et al.

Serial No.

10/042,527

Filed

October 19, 2001

Title

THERAPEUTIC COMPOSITIONS AND

METHODS OF TREATING GLYCOLIPID

STORAGE RELATED DISORDERS

Docket No.

2543-1-023

CERTIFICATE OF MAILING UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to BOX MISSING PARTS, ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231 on March 19, 2002.

Betty Schultz
(Name of Depositor)

Ty Schutt 3/19/02

SUBMISSION OF MISSING PARTS OF APPLICATION UNDER 37 CFR 1.53(d)

Sir:

Responsive to the Notice to File Missing Parts of Application dated February 12, 2002, (copy enclosed) under 37 CFR 1.53(d), Applicants submit herewith the following:

1. A combined Declaration and Power of Attorney making reference to the above-identified

ASSISTANT COMMISSIONER FOR PATENTS

March 19, 2002

Page - 2 - Docket No.: 2543-1-023

application, and in compliance with 37 CFR 1.63;

- 2. An Associate Power of Attorney;
- 3. A check in the amount of \$1,194.00, covering the following:
 - (a) Filing Fee under 37 CFR 1.16 (including multiple dependent claims)

1,064.00

(b) Surcharge under 37 CFR 1.16

130.00

The time set for this response is April 12, 2002.

A correct Filing Receipt reflecting the above and attached information is requested.

Applicants hereby authorize that any charges in addition to the above authorized that relate to the filing and processing of the present Application in accordance with 37 CFR 1.16 and 1.17 may be charged to Deposit Account No. 11-1153.

Respectfully submitted,

VALETA A. GREGG

Attorney for Applicant(s)

Registration No. 35,127

VAG/bls Enclosures



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, DC 20231 www.uspto.gov

APPLICATION NUMBER

FILING DATE

FIRST NAMED APPLICANT

ATTY. DOCKET NO./TITLE

10/042,527

10/19/2001

Raymond A. Dwek

2543-1-023

CONFIRMATION NO. 1260

COPY OF PAPERS

ORIGINALLY FILED

OC000000008727268

David A. Jackson Klauber & Jackson 411 Hackensack Avenue Hackensack, NJ 07601

Date Mailed: 09/04/2002

RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

Claims, Fees, and Inventors

n response to your request for a corrected Filing Receipt, the Office is unable to comply with the equest because:
The total number of claims appearing on the Filing Receipt does not include multiple dependent claims. The total fee appearing on the Filing Receipt includes the cost of multiple dependent claims that were present at the time the application was filed.
The filing fee is correct. It may include additional claims fees and/or the surcharge under 37 CFR 1.16(e) for filing an oath/declaration or basic filing fee after the application filing date; or it may not reflect fees refunded to the applicant that were paid by mistake.
The number of claims reflected on the filing receipt is correct. Upon review of the claims, it was found that there was a miscalculation by the applicant. This may be due to improperly presented multiple dependent claims, typographical error, misnumbering of the claims, or other oversight. An amendment may be necessary to correct the problem.
The filing fee reflected on the filing receipt is correct. Applicant may have miscalculated the fees due.
Applicant calculated fees as other than small entity; however, applicant asserted small entity status in the application. Therefore, fees were applied as small entity and the remainder was refunded to the applicant.
The difference between the fees paid and the fees due was refunded to the applicant and will not be shown on the filing receipt.
The inventor information may be truncated if the family name consists of more than 50 characters (letters and spaces combined) and if the given name consists of more than 50 characters (letters and spaces combined).

The inventor's residence allows for up to 40 characters (letters and spaces combined).
The inventor's residence will only include the city and state for U.S. residences or city and country for residences outside the U.S. (See MPEP 605.02).
A petition to correct the inventorship is needed to make this change. See 37 CFR 1.48. For non-provisional applications, the petition should be directed to the Director of the examining group assigned to your application.
Changes made after submission of an executed declaration to the inventor information other than correction of typographical errors must be submitted in the form of a substitute declaration. Change of inventorship requires a petition under 37 CFR 1.48.
The number of drawings shown on the filing receipt reflects the number of drawing sheets submitted and is not necessarily equal to the number of figures submitted.
The correspondence address was captured as directed by applicant on filing. If you wish correspondence to be directed otherwise, please submit a request for a change of address.
The docket number allows a maximum of 25 characters.
The person signing on behalf of the deceased inventor is reflected on the Filing Receipt as the legal representative.
The filing date of a parent application cannot be changed by this request. A petition to correct the filing date in the parent application is required.
Miss (russpec)
Customer Service Center /
Office of Initial Patent Examination
(703) 308-1202



COPY OF PAPERS ORIGINALLY FILED

Marred 3/19/02

Serial No. 10/042,527 File No. 2	543-1-023 DAJ/VAG/bs					
Title THERAPEUTIC COMPOSIT	IONS AND METHODS					
In the Matter of the Application of	EK ET AL.					
The following was received in the U.S. P stamped hereon: VIA FIRST Missing Parts	atent & Trademark Office on the date I CLASS MAIL					
☐ Transmittal Sheet/Cover Ltr.	Extension of Time					
☐ Application For Patent	☐ Issue Fee Transmittal					
⚠ Declaration	d Maintenance Fee					
☐ Drawings ☐ Sheet(s)	□ Appln. TM Registration					
S.E. Verified Statements	☐ 8 & 15 Declaration					
□ Assignment	☐ Renewal Application					
Check for \$ 1,194.00	☐ Notice of Appeal					
☐ Letter ☐ Missing Parts	☐ Brief ☐ Petition					
☐ Priority Document	Nower of Attorney Associate					
☐ Amendment ☐ Response	K copy of Notice to File Missing Parts					

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KLAUBER AND JACKSON SPECIAL ACCOUNT	
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PATENT 2543-1-023

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

RAYMOND A. DWEK ET AL.

SERIAL NO.:

10/042.527

EXAMINER: ANNE-MARIE BAKER

FILED

OCTOBER 19, 2001

ART UNIT:

1614

FOR

THERAPEUTIC COMPOSITIONS AND METHODS OF TREATING

GLYCOLIPID STORAGE RELATED DISORDERS

CERTIFICATE OF MAILING UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the ASSISTANT COMMISSIONER OF PATENTS, WASHINGTON, DC 20231 on August 6, 2002

David A. Jackson Reg. No. 26,742

(Name of Registered Representative)

REQUEST FOR CORRECTED FILING RECEIPT

ASSISTANT COMMISSIONER OF PATENTS WASHINGTON, DC 20231

Dear Sir:

Applicants hereby request that a Corrected Formal Filing Receipt (PTO-103X) be issued to correct the omission of three (3) Inventors in the above-identified Application.

Please note the following three (3) Inventors which were omitted and should be inserted:

- -- THIRD JOINT INVENTOR MYLVAGANAM JEYAKUMAR --
- -- FOURTH JOINT INVENTOR FRANCIS M. PLATT --
- -- FIFTH JOINT INVENTOR DAVID PRIESTMAN --.

The above appears on the Declaration and Power of Attorney filed on October 19, 2001. A copy of said Declaration and Power or Attorney plus the Transmittal Letter is enclosed.

A copy of the Filing Receipt with the requested insertions marked in red is also enclosed.

Applicants accordingly request that the records of the U.S. Patent and Trademark Office be corrected to reflect the correct Filing Date in the above-mentioned Application.

Respectfully submitted,

David A Jackson

Attorney for Applicant(s) Registration No. 26,742

KLAUBER & JACKSON 411 Hackensack Avenue Hackensack, NJ 07601 (201) 487-5800

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
WWW.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/042,527

10/19/2001

Raymond A. Dwek

2543-1-023

CONFIRMATION NO. 1260

FORMALITIES LETTER

OC00000007455586

David A. Jackson Klauber & Jackson 411 Hsckensack Avenue Hackensack, NJ 07601

Date Mailed: 02/12/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

 Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$324.
 - \$324 for 18 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1194.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Marled 8/6/02

Serial No. 10 042,527 File No. 25431-023 By DAJ DRM Title THERAPEUTIC Composition's AND etc. In the Matter of the Application of RAYMAND A DWEK et al. The following was received in the U.S. Patent & Trademark Office on the date stamped hereon:							
Transmittal Sheet/Cover Ltr. Ccopy)	☐ Extension of Time						
☐ Application For Patent	☐ Issue Fee Transmittal						
Declaration Affidavit	☐ Maintenance Fee						
☐ Drawings ☐ Sheet(s)	Appln. TM Registration						
S.E. Verified Statements	8 & 15 Declaration						
Assignment	Renewal Application						
Check for \$	☐ Notice of Appeal						
☐ Letter ☐ Missing Parts	☐ Brief ☐ Petition						
Priority Document	Power of Attorney CCoP1)						
☐ Amendment ☐ Response	REQUEST FOR						
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COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

APPLICATION NUMBER

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FIL FEE REC'D ATTY.DOCKET.NO DRAWINGS

TOT CLAUMS

www.uspta.gov

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1614

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2543-1-023

IND CLAIMS

CONFIRMATION NO. 1260

UPDATED FILING RECEIPT

OC000000007910665

David A. Jackson Klauber & Jackson 411 Hackensack Avenue Hackensack, NJ 07601

Date Mailed: 04/18/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Raymond A. Dwek, Oxford, UNITED KINGDOM; Terence D. Butters, Oxford, UNITED KINGDOM;

ADD -> MYLVAGANAM JEYAKUMAR -> FRANCIS M. PLATT THIS APPLICATION IS A CON OF PCT/GB00/01560 04/20/2000 DAVID PRIESTMAN

Foreign Applications

UNITED KINGDOM 9909066.4 04/20/1999

If Required, Foreign Filing License Granted 02/11/2002

Projected Publication Date: 07/25/2002

Non-Publication Request: No

Early Publication Request: No

Titl

Therapeutic compositions and methods of treating glycolipid storage related disorders

Preliminary Class

514

LICENSE FOR FOREIGN FILING UNDER Titl 35, Unit d Stat s C d , Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

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Burden Hour Statement: This form is estimated to take 0.5 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PTO/SB/13/PCT (10-00)
Approved for use through 10/31/02, OMB 0651-0032
Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

REQUEST FOR FILING A CONTINUATION OR DIVISION OF AN INTERNATIONAL APPLICATION 8. 🖾 A declaration under 37 CFR 1.63 is enclosed. Unexecuted 9. Priority of foreign application number 9909066.4, filed on 4/20/99 in Great Britain is claimed under 35 U.S.C. 119(a)-(d). The certified copy is enclosed. 10. A preliminary amendment is enclosed. 11. Also enclosed: Five (5) Sheets of Informal Drawings (Figure 1 to Figure 11) Address all future correspondence to: (May only be completed by applicant, or attorney or agent of record). David A. Jackson, Klauber & Jackson, 411 Hackensack Avenue, Hackensack, New Jersey 07601 WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. October 19, 2001 Date anature David A Jackson, Reg. No. 26,742 Typed or printed name Inventor(s)/Applicant(s) Assignee of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96). Attorney or agent of record Filed under 37 CFR 1.34(a) Registration number if acting under 37 CFR 1.34(a)._ NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

forms are submitted.

☐ *Total of